

01-15-04

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
30859-CNT1

In Re Application Of:

WARD, Philip T.

Serial No.
10/678,378

Filing Date
10/2/2003

Examiner

Group Art Unit

Title: **Concrete Forming Panel with Flexible Barrier**

Address to:

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

37 CFR 1.97(b)

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

37 CFR 1.97(c)

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

☐ the statement specified in 37 CFR 1.97(e);

OR

☐ the fee set forth in 37 CFR 1.17(p).

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Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of _____ is attached.
- ☒ The Director is hereby authorized to charge and credit Deposit Account No. 19-0522 as described below.
- ☐ Charge the amount of _____
- ☐ Credit any overpayment.
- ☒ Charge any additional fee required.

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Dated:

1/13/04

CC:

FORM PTO-1449 (Rev. 2-32)	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTY. DOCKET NO. 30859-CNT1	SERIAL NO. 10/678,378
SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use several sheets if necessary)		APPLICANT: WARD, Philip T.	
		FILING DATE: 10/2/2003	GROUP:

U.S. PATENT DOCUMENTS

EXAM. INITIAL		DOCUMENT NUMBER							DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
		4	4	0	5	1	1	2	9/1983	Doubleday et al.			
		4	7	0	8	3	1	5	11/1987	Carlson et al.			
		4	7	4	4	5	4	1	5/1988	Carlson et al.			
		4	9	5	7	2	7	2	9/1990	Lee, Yuan-Ho			
		4	9	5	8	8	0	0	9/1990	Carlson			
		4	9	7	6	4	0	1	12//1990	Carlson			
		4	9	7	8	0	9	9	12/1990	Carlson			
		5	0	4	4	6	0	1	09/1991	Miller, Michael J.			
		5	0	5	8	8	5	5	10/1991	Ward			
		5	0	8	0	3	2	1	11/1992	Carlson			

FOREIGN PATENT DOCUMENTS

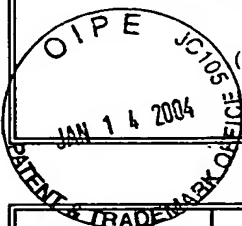
		DOCUMENT NUMBER							DATE	COUNTRY	CLASS	SUBCLAS S	TRANSLATION	
													YES	NO

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

		✓	Western Forms, Inc. Catalog titled "World's Leading Innovator in Aluminum Forming Systems" copyright 1999, all pages
		✓	Article titled "Good forming details: a critical element in architectural concrete" from "Concrete Construction" Magazine, November 1972.
		✓	Hard copies of screens from the following Felton Brush Web site addresses: http://www.feltonauto.com/currentapps.htm
		✓	Hard copies of screens from the following Felton Brush Web site addresses: http://www.feltonauto.com/current_cups.htm
		✓	Hard copies of screens from the following Felton Brush Web site addresses: http://www.feltonauto.com/current_prndl.htm

EXAMINER: Initial if citation considered, whether not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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**U.S. PATENT DOCUMENTS**

EXAM. INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	5 0 8 3 7 4 0	1/1992	Sawyer, et al.			
	5 1 6 0 6 4 0	11/1992	Bedstieber			
	5 1 7 4 9 0 9	12/1992	Ward			
	5 1 8 4 4 3 9	2//1993	Ward			
	5 2 8 8 0 5 1	2/1994	Ward			
	5 6 7 1 4 8 8	9/1997	Greferath			
	5 7 9 2 5 5 2	8/1998	Langkamp et al.			
	5 8 3 6 1 2 6	11/98	Harkenrider, et al.			
	5 9 6 5 0 5 3	12/1999	Carlson			
	5 9 7 5 4 8 2	11/99	Wu et al.			

FOREIGN PATENT DOCUMENTS

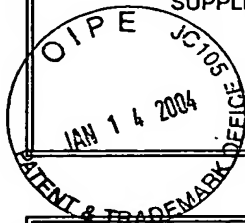
DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION
					YES NO

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

		Brochure: The World's Leading Innovator in Aluminum Forming Systems (Western Forms, Inc. 1993) (6 pages printed on front and back including cover)
		Brochure: A New Generation in Aluminum Forming Systems (Western Forms, Inc. 1988) (6 pages printed on front and back including cover)
		Brochure: Concrete Forming Accessories, 2nd ed. (Western Forms, Inc. [before 1999]) (49 printed pages not including front/back cover, divider tabs and printing on inside of covers)

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		5	9	7	9	1	3	8	11/1999	Allen			
		6	4	0	0	0	4	8	6/2002	Nishimura et al.			
		6	4	1	9	2	0	4	7/2002	Flathau, Robert J.			
		6	5	0	2	8	0	2	1/2003	Jorn et al.			

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER							DATE	COUNTRY	CLASS	SUBCLAS S	TRANSLATION	
		6	2	8	8	0	8	6	10/1994	JP			YES	NO
		5	8	6	6	7	1		04/1993	JP				

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

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